

Karl G. Brokmann

895 Brookfield Dr. • Reno, NV 89503
kbrok@charter.net • (775) 323-9669

OBJECTIVES

To obtain a full-time, finance related position such as financial analysis, external reporting or other capacity. To continue to learn and grow through work, relationships and experiences.

EMPLOYMENT

Biggest Little Investments, LP Pelican, LLC

Investor Relations and External Reporting Manager; Financial Analyst; Office and Property Manager
October 2007 – Present

- Drafting and filing SEC reports including annual (10-K) and quarterly (10-Q) reports, as well as fair disclosure documents (8-K) and change in securities ownership forms (Forms 3, 4, 5; SCH 13d).
- Compiling of financial statements for external reporting purposes.
- Drafting of letters of intent to purchase real estate assets.
- Creation of amortization schedules for promissory notes receivable.
- Review of loan closing documents, Purchase and Sale Agreements, Deeds of Trust, notes, etc.
- Responsible for investor relations function including coordinating ownership transfers of securities, communicating with investors and facilitating transactions.
- Management of securities buy-back programs.
- Analysis of commercial and residential real estate leasing markets.
- Day-to-day management of retail center in South Reno including tenant relations and special project supervision.
- Drafting of tenant lease agreements for commercial property.
- Day-to-day office management and supervision of five employees engaged in tasks relating to various types of real estate management and investment.

University of Nevada – Reno

Instructor
August 2007 – Present

- Teaching 200-level Business Statistics through the university's Economics Department.

Monarch Casino & Resort, Inc. – Reno, Nevada

Investor Relations Director / Analyst
January 2002 to October 2007

- Reported directly to the CFO.
- Drafted and filed annual (10-K) and quarterly (10-Q) reports required of public companies, as well as proxy statements (DEF-14A), registration statements (S-3; S-8), fair disclosure documents (8-K) and change in securities ownership forms (Forms 3, 4, 5; SCH 13d).
- Managed company equity compensation plans including issuance and exercise of stock options, withholding calculations and coordination of stock transfers with

transfer agent and brokers, as well as drafting of various plans for stockholder approval.

- Implemented requirements of SFAS 123R, *Accounting for Stock Based Compensation* (Black-Scholes model), and was responsible for calculating and reporting compensation expense and additional disclosures related to SFAS 123R.
- Helped create Excel models for stock option valuation and expensing as required by SFAS 123R.
- Composed and published press releases announcing earnings and other investor related news.
- Communicated and maintained relationships with investors, analysts and shareholders.
- Worked with SEC attorneys, auditors and investment banks in drafting of S-3 Registration Statement related to a secondary stock offering.
- Participated in road show sales presentations related to secondary stock offering.
- Saw to compliance of bank loan covenants related to \$80 million revolving line of credit with consortium of banks including pricing and compliance certificates, annual forecasts and capital budgets.
- Drafted presentations for and organized annual bankers' meetings.
- Performed financial analysis including: comparison of departmental payroll to revenue; explanations to major changes to quarterly departmental expenses and revenues; comparison of company's performance (growth and margin analyses) to other public gaming companies; analysis of construction invoices; analysis and consensus tracking of analyst estimates; gathering of local and regional demographic data.
- Served as liaison between company management and Board of Directors including drafting of Board and Committee meeting agendas and organizing meetings.
- Drafted presentation and was otherwise responsible for Annual Stockholders' Meetings.

EDUCATION

- Masters in Business Administration – December 2001
University of Nevada – Reno
Focus: Logistics
GPA: 3.98
- Bachelor of Science, Business Administration – May 1998
University of Nevada – Reno
Major: Finance
- Baccaluréat de L'Enseignement du Second Degré – June 1993
Eurocampus – Manila, Philippines
Focus: Economics and Social Studies
- Intermediary Accounting (three-part course) – 2003, 2004
University of Nevada – Reno

LANGUAGES

- Fluent in English, French, Spanish and Tagalog.
- Conversational German
- Two years college level Japanese.

RESEARCH AND PUBLICATION

- "Reverse Logistics Challenges" *Council of Logistics Management Annual Conference Proceedings*. November 2001. Collaborated with Dale S. Rogers, PhD; Ronald S. Tibben-Lembke, PhD; Kasia Banasiak and Timothy Johnson.